



REQUEST FOR CONTINUED EXAMINATION (RCE)  
TRANSMITTAL

RCE/2700  
#16  
W. Layman  
12/18/03

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 5, 2003.

Signature

RECEIVED

DEC 10 2003

Technology Center 2600

Application Number : 09/449,887  
Filing Date : November 30, 1999  
Inventor(s) : Henry C. Yuen  
Title : SMART AGENT BASED ON HABIT, STATISTICAL INFERENCE AND PSYCHO-DEMOGRAPHIC PROFILING

Group Art Unit : 2611  
Examiner Name : Vivek Srivastava  
Docket No. : 36524/RRT/I148

Date: December 5, 2003

MAIL TO: Mail Stop RCE

This is a Request for Continued Examination (RCE) under 37 CFR § 1.114 of the above-identified application.

This application is **not** an application of the kind specified in 37 CFR § 1.114(e).

1. THE STATUS OF THE APPLICATION IS AS FOLLOWS:

- a. ☒ Pending (no review proceedings active)  
(1) ☒ An Action was mailed by the Office on August 13, 2003, as to which no appeal under 37 CFR § 1.191 has been filed and  
\_\_\_\_\_ a response under 37 CFR § 1.116 was mailed on  
\_\_\_\_\_ via Express Mail  
\_\_\_\_\_ with certificate of mailing under 37 CFR § 1.8  
☒ that Action was a Final Rejection, the finality of which is to be withdrawn by this Request  
\_\_\_\_\_ an appeal or civil action under 35 U.S.C. 141, 145 or 146 has been terminated  
(2) \_\_\_\_\_ Allowed: the Notice of Allowance was mailed by the Office on  
\_\_\_\_\_ the Issue Fee has not been paid  
\_\_\_\_\_ the Issue Fee has been paid **and** a petition under 37 CFR § 1.313 was granted on  
b. \_\_\_\_\_ Pending (with review proceeding active)  
An appeal under 37 CFR § 1.191 has been filed. **Applicant(s) hereby withdraw that appeal and request reopening of the prosecution of the application.**

12/09/2003 DTESSEM1 00000137 09449887

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770.00 DP

**REQUEST FOR CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

**Application No. 09/449,887**

**2. SUBMISSION(S) REQUIRED (check at least one)**

**a. Previously submitted**

- ☐ Consider the amendments/reply under 37 CFR § 1.116 previously filed on  
☐ Consider the arguments in the Appeal or Reply Brief previously filed on  
☐ Other:

**b. Enclosed**

- ☒ Amendment/Reply  
☐ Affidavit(s)/Declaration(s)  
☐ Information Disclosure Statement  
☐ Documents under 37 CFR § 1.48  
☒ Petition for Extension of Time  
☐ Other:

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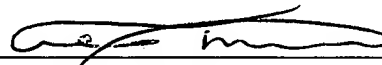
The Examiner is requested to telephone the undersigned promptly following receipt and initial review of the application in light of the Submissions(s) for the conduct of, or the scheduling of, a telephone interview in the application.

Please address all correspondence to **CHRISTIE, PARKER & HALE, LLP, P.O. Box 7068, Pasadena, CA 91109-7068.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

  
Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

RRT/dlf

**REQUEST FOR CONTINUED EXAMINATION (RCE)  
FEE CALCULATION SHEET**

**Application No. 09/449,887**

PART I — BASIC FEE			
BASIC FEE	Small Entity \$385.00	Large Entity \$770.00	\$770

PART II — ADDITIONAL CLAIMS (compared to application before RCE)						
	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra Claims	Small Entity Rate	Large Entity Rate	FEE
Total Claims	46	*46	0	- x \$9.00	0 x \$18.00	0
Independent Claims	6	**6	0	- x \$43.00	0 x \$86.00	0
First Presentation of Multiple Dependent Claim				\$145.00	\$290.00	0
TOTAL CLAIMS FEE						\$0
List Independent Claims: 1, 2, 29, 30, 39, 42						
* IF THE "HIGHEST NUMBER OF TOTAL CLAIMS PREVIOUSLY PAID FOR" IS LESS THAN 20, WRITE "20" IN THIS SPACE. ** IF THE HIGHEST NUMBER PREVIOUSLY PAID OR IS 3 OR LESS, WRITE "3" IN THIS SPACE.						

1. FEES *(The RCE fee under 37 CFR § 1.17(e) is required by 37 CFR § 1.114 when the RCE is filed.)*
- a. Amount (total from Fee Calculation Sheet)  
A check for \$770 is enclosed.
- b.   X   The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required for this transaction to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. A **duplicate copy hereof is enclosed.**

DLF PAS539648.1-\* -12/5/03 8:33 AM